

# Lecture 40

## Heat engines and refrigerators.

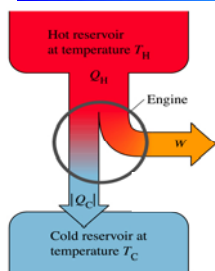
### Heat engine

= device with a **working substance** (eg. gas) that operates in a thermodynamic cycle. In each cycle, the **net** result is that the system absorbs heat ( $Q > 0$ ) and does work ( $W > 0$ ).

Examples:

- Car engine: burns fuel, heats air inside piston. Piston expands, does mechanical work to move car
- Animal: burns "food" to be able to move

### Hot and cold reservoirs

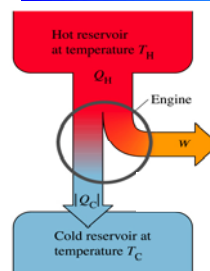


Stages of the cycle

- Absorb heat from hot reservoir ( $Q_H$ )
- Perform mechanical work ( $W$ )
- Dump excess heat into cold reservoir ( $Q_C < 0$ )

Reservoir = large body whose temperature does not change when it absorbs or releases heat.

### Energy flow



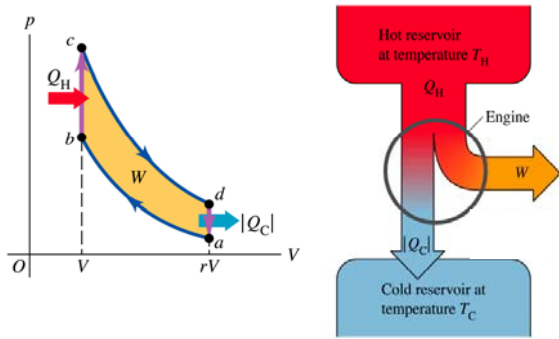
Working substance in engine completes a cycle, so  $\Delta U = 0$ :

$$(Q_H + Q_C) - W = 0$$

$$W = Q_H + Q_C = |Q_H| - |Q_C|$$

This relation follows naturally from the diagram ( $Q_H$  "splits"). Draw it every time!

## Energy flow diagrams



## Limitations

We are not saying that you can absorb 10 J of heat from a hot source (a burning fuel) and produce 10 J of mechanical work...

You can absorb 10 J of heat from a hot source (a burning fuel) and produce 7 J of mechanical work and release 3 J into a cold source (cooling system).

... so at the end you absorbed 10 J but *used* (= converted to work) only 7 J.

(We'll see later that it is impossible to make  $Q_H = W$ , or  $Q_C = 0$ )

## Efficiency

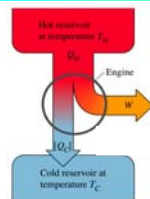
$$\text{Efficiency} = \frac{\text{what you use}}{\text{what you pay for}}$$

For a heat engine:  $e = \frac{W}{Q_H}$      $0 < e < 1$

Example: A heat engine does 30 J of work and exhausts 70 J by heat transfer. What is the efficiency of the engine?

$$W = 30 \text{ J} \quad |Q_C| = 70 \text{ J} \Rightarrow Q_C = -70 \text{ J} \quad e = \frac{W}{Q_H} = 0.3 \text{ (or 30\%)}$$

$$Q_H = W - Q_C = 100 \text{ J}$$



## ACT: Two engines

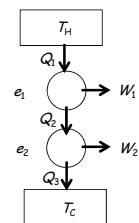
Two engines 1 and 2 with efficiencies  $e_1$  and  $e_2$  work in series as shown. Let  $e$  be the efficiency of the combination. Which of the following is true?

- A.  $e > e_1 + e_2$
- B.  $e = e_1 + e_2$
- C.  $e < e_1 + e_2$

$$e_1 = \frac{W_1}{|Q_1|} \quad e_2 = \frac{W_2}{|Q_2|}$$

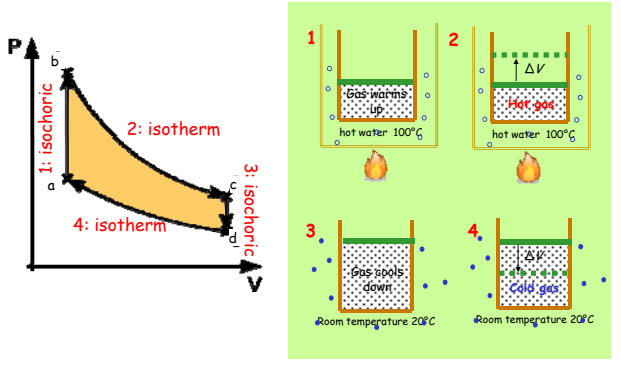
$$e = \frac{W_1 + W_2}{|Q_1|} = \frac{W_1}{|Q_1|} + \frac{W_2}{|Q_1|} < \frac{W_1}{|Q_1|} + \frac{W_2}{|Q_2|}$$

$$|Q_1| = |Q_2| + W_1 > |Q_2| \quad \frac{1}{|Q_1|} < \frac{1}{|Q_2|}$$



## The Stirling engine

DEMO: Stirling engine



## Internal combustion engines

The heat source (fuel combustion) is *inside* the engine and mixed with the working substance (air)

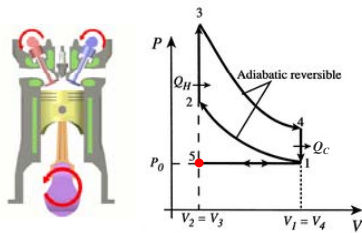
- Otto (4 stroke gasoline)
- Diesel

Note: No real cold and hot reservoirs.

## Otto cycle

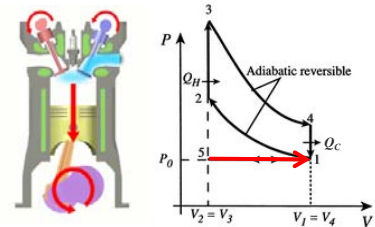
Idealization of the four-stroke gasoline engine

Start



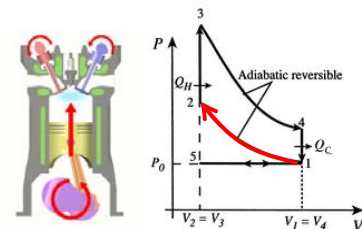
**Intake:**

- mix of air and fuel enter
- at p<sub>atm</sub>
- n increase


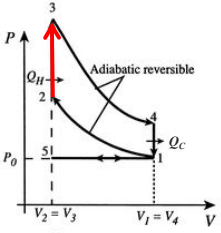


**Compression:**

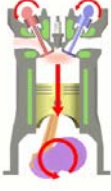
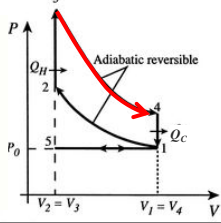
- Adiabatic compression:
- temperature increase
  - no heat exchange
  - work done on the gas (small because of small pressure)



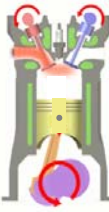
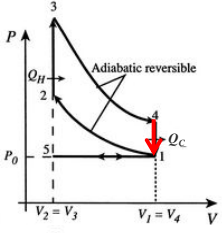
**Combustion:**  
Heating at constant volume  
• No work


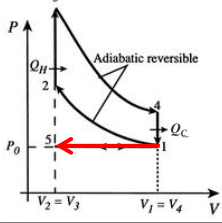
**Power stroke:**  
Adiabatic expansion  
• Temperature decrease  
• No heat exchange  
• Work done by the gas (large because of large pressure)

**Heat reject:**  
When piston at the bottom, very fast cooling, i.e. at constant volume  
• Excess heat absorbed by water jacket  
• Valve opens → Pressure drops to  $p_{atm}$

**Exhaust:**  
 $n$  decrease

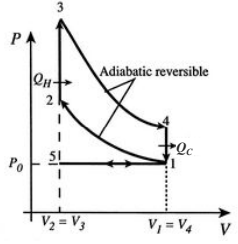
### Compression ratio

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$r = \frac{V_{max}}{V_{min}}$  compression ratio

**Compression:**  
 $T_2 V_2^{\gamma-1} = T_1 V_1^{\gamma-1}$   
 $= T_1 (r V_1)^{\gamma-1}$   
 $T_2 = T_1 r^{\gamma-1}$

**Expansion:**  
 $T_3 V_3^{\gamma-1} = T_4 V_4^{\gamma-1}$   
 $= T_4 (r V_3)^{\gamma-1}$   
 $T_3 = T_4 r^{\gamma-1}$



### Efficiency of the Otto cycle

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$Q_H = nC_V(T_3 - T_2) > 0$   
 $Q_C = nC_V(T_1 - T_4) < 0$

$e = \frac{W}{Q_H} = \frac{Q_H + Q_C}{Q_H}$

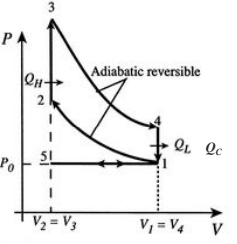
$= \frac{T_3 - T_2 + T_1 - T_4}{T_3 - T_2}$

$T_2 = T_1 r^{\gamma-1}$   
 $T_3 = T_4 r^{\gamma-1}$

$= \frac{T_4 r^{\gamma-1} - T_1 r^{\gamma-1} + T_1 - T_4}{T_4 r^{\gamma-1} - T_1 r^{\gamma-1}}$

$= \frac{(T_4 - T_1)(r^{\gamma-1} - 1)}{(T_4 - T_1)r^{\gamma-1}}$

$e = 1 - \frac{1}{r^{\gamma-1}}$



### In-class example: Otto's engine efficiency

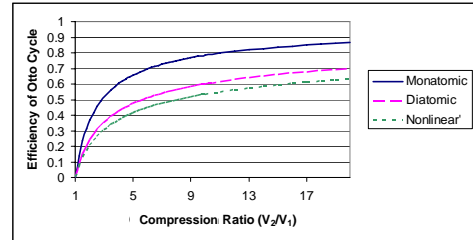
Two idealized Otto cycles have a compression ratio of 5 and 10, respectively. What is the ratio of their efficiencies? Take the gas mixture to be a diatomic gas.

$$\frac{e(r=10)}{e(r=5)} = ?$$

- A. 1.27
- B. 1.33
- C. 1.50
- D. 1.67
- E. 2.00

Diatomic gas:  $\gamma = \frac{C_p}{C_v} = \frac{\frac{7}{2}R}{\frac{5}{2}R} = \frac{7}{5} = 1.4$

$$\frac{e(r=10)}{e(r=5)} = \frac{1 - \frac{1}{10^{1.4-1}}}{1 - \frac{1}{5^{1.4-1}}} = 1.27$$



Why not simply use a higher compression ratio?

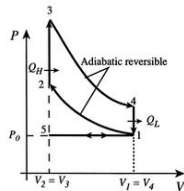
- $V_2$  big  $\rightarrow$  huge, heavy engine
- $V_1$  small  $\rightarrow$  temp. gets too high  $\rightarrow$  premature ignition  $\rightarrow$  need to use octane in gas to raise combustion temperature

### Real four-stroke engine

The Otto cycle is an idealization:

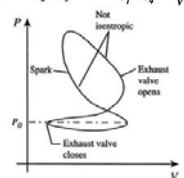
- assumes ideal gas
- neglects friction, turbulence, loss of heat to walls

For  $r = 8$  and  $\gamma = 1.4$  (air),  $e = 0.56$



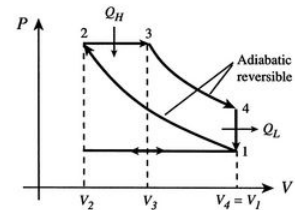
Realistic cycle of 4-stroke engine

$e \sim 0.3$

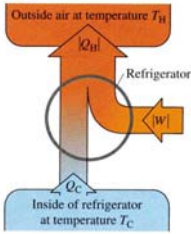


### Diesel engine

- Intake and compression happen without fuel.
- Fuel is injected after compression, and keeps pressure constant.
- Compression rate  $r$  is 15-20
  - $\rightarrow$  Larger temperatures
  - $\rightarrow$  Fuel ignites spontaneously
- Ideal efficiency  $e \sim 0.65-0.70$



## Refrigerators



- Absorb heat from cold reservoir ( $Q_c > 0$ )
- Work done on engine ( $W < 0$ )
- Dump heat into hot reservoir ( $Q_H > 0$ )

Energy balance:

$$W = Q_H + Q_c$$

$$|W| = |Q_H| - |Q_c|$$

(We want as much  $Q_c$  while paying for the smallest possible  $W$ .)

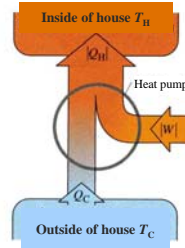
Coefficient of performance (refrigerator)

$$K = \frac{Q_c}{|W|}$$

$$0 < K < \infty$$

## Heat pumps

A very efficient way to warm a house: bring heat from the colder outside.



This time we are interested in  $Q_H$ :

Coefficient of performance (heat pump)

$$K = \frac{|Q_H|}{|W|}$$

$$1 < K < \infty$$

Same energy diagram as refrigerator